

**STEVENS INSTITUTE OF TECHNOLOGY (SIT)**  
**Financial Interest Disclosure Form for Investigators with NSF and NIH/PHS Proposals**

**Name:** \_\_\_\_\_  
LAST FIRST (Please print) DEPARTMENT/LAB CENTER

**Title of Proposal:** \_\_\_\_\_

**Note:** When you believe there is any potential for a real or perceived conflict of interest as defined in NSF or NIH/PHS grant policies, then this form must be submitted directly to Director of Sponsored Research Administration, Howe Center by all investigators with each NSF or NIH/PHS proposal in advance of the proposal being signed for the Institute and submitted to the sponsor. Submit a separate form for each entry for which a potential for real or perceived conflict of interest applies.

You do not have to disclose payments for SIT for yourself or your immediate family. You also do not have to disclose payments from public or non-profit organizations if they are for lectures, seminars, or service on an advisory committee. Financial interests in a company that are the result of your holding shares in a mutual fund or being a member of the SIT retirement plan or similar pooled funds do not need to be reported, as long as you do not control the selection of investments.

This is a disclosure to SIT; the Institute will certify to the funding agency that you have disclosed the required information and whether the agency's conflict of interest policy has been satisfied. The information you disclose will be kept confidential to the extent permitted by law, but if a conflict has been identified in connection with NIH/PHS-supported research and is being managed, that information must be disclosed to the agency.

**Name of Entity** (company or public or non-profit organization):

**Share Ownership** (if a company):      **Public**       **Private**

**Nature of your Relationship to Entity:** (e.g., consultant, director, scientific advisory board member, stockholder, spouse is employee, etc.):

From this entity did you receive in the last 12 months, or do you expect to receive in the next 12 months, salary, director's fees, consulting payments, honoraria, royalties or other payments for patents, copyrights or other intellectual property, or any other payments that will exceed \$10,000 (aggregate for yourself, your spouse, and your dependent children)?

**Yes**     **No**

**For this entity, do you have stock, stock options, or other interests of significant monetary value either in excess of \$10,000 in fair market value or in excess of 5 percent of the ownership interest of a company? (In either case, aggregate for yourself, your spouse and your dependent children; in the case of stock in companies that are not publicly traded, use the most recent sales price recognized by the company, not what you paid.)**

**Yes**     **No**

**Entity Relationship to SIT:** Does the entity have a relationship (e.g., research sponsor, member of consortium, etc.) with SIT that you are aware of?    **Yes**       **No**

If yes, please describe it briefly:

**Possible Research Conflict of Interest:** In your judgment, could your financial interest or the financial interests of the entity reasonably appear to be affected by any of your research supported by the NSF or the NIH/PHS?

**Yes**     **No**

Please explain the reason for your conclusion (attach additional sheets if necessary).

**Signature:** \_\_\_\_\_

**Date:** \_\_\_\_\_