Fixed subgroups are compressed in surface groups

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(Joint work with Q. Zhang and J. Wu.)

Most of this talk is contained in the paper:

Q. Zhang, E. Ventura, J. Wu, "Fixed subgroups are compressed in surface groups", *International Journal of Algebra and Computation* **25** (5) (2015), 865-887.

Outline

- Fixed subgroups in free groups (history)
- New results in free groups
- 3 Fixed subgroups in surface groups (history)
- 4 New results in surface groups
- 5 New results in direct products of free and surface groups

• Let G be a finitely presented group.

- Aut $(G) \subseteq Mono(G) \subseteq End(G)$.
- I let endomorphisms $\phi \colon G \to G$ act on the left, $x \mapsto \phi(x)$.
- Fix $(\phi) = \{x \in F_n \mid \phi(x) = x\} \leqslant G$.
- If $\mathcal{B} \subseteq \operatorname{End}(G)$ then $\operatorname{Fix}(\mathcal{B}) = \{x \in G \mid \beta(x) = x \ \forall \beta \in \mathcal{B}\} = \cap_{\beta \in \mathcal{B}} \operatorname{Fix}(\beta) \leqslant G$.
- For $\mathcal{B} \subseteq \text{Hom}(G, H)$, Eq $(\mathcal{B}) = \{x \in G \mid \beta_1(x) = \beta_2(x) \ \forall \beta_1, \beta_2 \in \mathcal{B}\}$
- Note that if $G \leqslant H$ and $\iota \in \mathcal{B}$ then Eq $(\mathcal{B}) = \text{Fix}(\mathcal{B})$.

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Theorem (Dyer-Scott, 75)

Let $\mathcal{B} \leqslant \operatorname{Aut}(F_n)$ be a finite group of automorphisms of F_n . Then, $\operatorname{Fix}(\mathcal{B}) \leqslant_{\operatorname{ff}} F_n$; in particular, $r(\operatorname{Fix}(\mathcal{B})) \leqslant n$.

Conjecture (Scott)

For every $\phi \in Aut(F_n)$, $r(Fix(\phi)) \leqslant n$.

Theorem (Gersten, 83 (published 87))

Let $\phi \in Aut(F_n)$. Then $r(Fix(\phi)) < \infty$.

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Main result in this story:

Theorem (Bestvina-Handel, 88 (published 92))

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introducing the theory of train-tracks for graphs.

After Bestvina-Handel, live continues ...

Theorem (Imrich-Turner, 89)

Let $\phi \in End(F_n)$. Then $r(Fix(\phi)) \leqslant n$.

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Let $\phi \in End(F_n)$; if ϕ is not bijective then $r(Fix(\phi)) \leq n-1$.

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Definition

A subgroup $H \leqslant G$ is called

- inert in G if $r(H \cap K) \leqslant r(K)$ for every $K \leqslant G$;
- compressed in G if $r(H) \leqslant r(K)$ for every $H \leqslant K \leqslant G$;
- Free factors and cyclic subgroups of F_n are inert in F_n ;
- intersection of inert subgroups are inert;
- free subgroups of rank 1 and 2 in F_n are inert in F_n ;
- $A \leqslant B \leqslant C$; if A is inert in B, and B is inert in C then A is inert in C.
- $H \leqslant G \text{ inert } \Rightarrow H \leqslant G \text{ compressed } \Rightarrow r(H) \leqslant r(G);$
- not known if all compress subgroups of F_n are inert in F_n , or not (Compressed-Inert Conjecture, Dicks-V.)

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Inertia

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Let F be a f.g. free group, let $\mathcal{B} \subseteq End(F)$, and let $\beta_0 \in \langle \mathcal{B} \rangle \leqslant End(F)$ be with $r(\beta_0(F))$ minimal. Then, for every subgroup $K \leqslant F$ such that $\beta_0(K) \cap Fix\mathcal{B} \leqslant K$, we have $r(K \cap Fix\mathcal{B}) \leqslant r(K)$.

- Since, $Fix \alpha \cap Fix \beta \leqslant Fix(\alpha\beta)$, we have $Fix \langle \mathcal{B} \rangle = Fix \mathcal{B}$ and so, we can assume that $Id \in \langle \mathcal{B} \rangle = \mathcal{B}$.
- Now choose $\beta_0 \in \mathcal{B}$ with $r(\beta_0(F)) = \min\{r(\gamma(F)) \mid \gamma \in \mathcal{B}\}$. Thus, all elements of \mathcal{B} act injectively on $\beta_0(F)$.
- Restricting $\beta_0 \mathcal{B} = \{\beta_0 \gamma \mid \gamma \in \mathcal{B}\} \subseteq \mathcal{B}$ to $\beta_0(F)$ we get the family of injective endos: $\beta_0 \gamma |_{\beta_0(F)} : \beta_0(F) \to \beta_0(F)$, for $\gamma \in \mathcal{B}$.
- Hence, $Fix(\beta_0 \mathcal{B}) = Fix(\beta_0 \mathcal{B}|_{\beta_0(F)})$ is inert in $\beta_0(F)$ that is, for every $L \leq \beta_0(F)$, we have $r(L \cap Fix(\beta_0 \mathcal{B})) \leq r(L)$.

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- Restricting $\beta_0 \mathcal{B} = \{\beta_0 \gamma \mid \gamma \in \mathcal{B}\} \subseteq \mathcal{B}$ to $\beta_0(F)$ we get the family of injective endos: $\beta_0 \gamma |_{\beta_0(F)} : \beta_0(F) \to \beta_0(F)$, for $\gamma \in \mathcal{B}$.
- Hence, $Fix(\beta_0 \mathcal{B}) = Fix(\beta_0 \mathcal{B}|_{\beta_0(F)})$ is inert in $\beta_0(F)$ that is, for every $L \leq \beta_0(F)$, we have $r(L \cap Fix(\beta_0 \mathcal{B})) \leq r(L)$.

Direct products (new)

Main result for free groups

- Now, let $K \leqslant F$ be a subgroup such that $\beta_0(K) \cap Fix \mathcal{B} \leqslant K$; we have to show that $r(K \cap Fix \mathcal{B}) \leqslant r(K)$.
- Take $E = \beta_0^{-1}(\beta_0(K) \cap Fix(\beta_0 \mathcal{B})) \leqslant F$. By construction, β_0 restricts to an epimorphism $\beta_0|_E \colon E \twoheadrightarrow \beta_0(K) \cap Fix(\beta_0 \mathcal{B})$. And every $\gamma \in \mathcal{B}$ restricts to a section of $\beta_0|_E$, namely

$$E \leftarrow \beta_0(K) \cap Fix(\beta_0 \mathcal{B}) \colon \gamma|_{\beta_0(K) \cap Fix(\beta_0 \mathcal{B})},$$

since $x \in \beta_0(K) \cap Fix(\beta_0 \mathcal{B}) \Rightarrow \beta_0 \gamma(x) = x$ and so, $\gamma(x) \in E$

- By Bergman's Thm, $Eq(\mathcal{B}|_{\beta_0(K)\cap FiX(\beta_0\mathcal{B})})$ is a free factor of $\beta_0(K)\cap Fix(\beta_0\mathcal{B})$.
- But, $Eq(\mathcal{B}|_{\beta_0(K)\cap FiX(\beta_0\mathcal{B})}) = Fix(\mathcal{B}|_{\beta_0(K)\cap FiX(\beta_0\mathcal{B})})$ $= Fix\mathcal{B}\cap\beta_0(K)\cap Fix(\beta_0\mathcal{B})$ $= \beta_0(K)\cap Fix\mathcal{B}$ $= K\cap Fix\mathcal{B}$
- Thus, intersecting with $L = \beta_0(K) \leqslant \beta_0(F)$, we conclude

$$r(K \cap FixB) \le r(\beta_0(K) \cap Fix(\beta_0B)) \le r(\beta_0(K)) \le r(K)$$
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As a first corollary, we obtain

Theorem (Martino-V., 04)

Let $\mathcal{B} \subseteq End(F_n)$ be an arbitrary set of endomorphisms of F_n . Then, $Fix(\mathcal{B})$ is compressed in F_n .

(Easier alternative proof)

Clearly, $Fix \mathcal{B} \leqslant K \leqslant F \Rightarrow \beta_0(K) \cap Fix \mathcal{B} \leqslant K$. So, main theorem applies to those K, and $r(Fix \mathcal{B}) = r(K \cap Fix \mathcal{B}) \leqslant r(K)$.

Corollary

Let F be a f.g. free group, let $\mathcal{B} \subseteq End(F)$, and let $\beta_0 \in \langle \mathcal{B} \rangle \leqslant End(F)$ be with $r(\beta_0(F))$ minimal. Then, Fix \mathcal{B} is inert in $\beta_0(F)$. Moreover, if $\beta_0(F)$ is inert in F then Fix \mathcal{B} is inert in F as well.

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Outline

- Fixed subgroups in free groups (history
- New results in free groups
- 3 Fixed subgroups in surface groups (history)
- New results in surface groups
- 5 New results in direct products of free and surface groups

- Σ_g denotes the orientable surface of genus g, $g \geqslant 0$;
- $S_g = \pi_1(\Sigma_g) = \langle a_1, b_1, \dots, a_g, b_g \mid [a_1, b_1] \cdots [a_g, b_g] \rangle;$
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- $N\Sigma_k$ denotes the connected sum of k projective planes, $k \ge 1$;
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- Euler characteristic: $\chi(\Sigma_g) = 2 2g$, $\chi(N\Sigma_k) = 2 k$;
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- Σ_g denotes the orientable surface of genus $g, g \geqslant 0$;
- $S_g = \pi_1(\Sigma_g) = \langle a_1, b_1, \dots, a_g, b_g \mid [a_1, b_1] \cdots [a_g, b_g] \rangle;$
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- $N\Sigma_k$ denotes the connected sum of k projective planes, $k \ge 1$;
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Let G be a surf. gr. $\chi(G) < 0$. Then, $r(Fix(\phi)) \leq r(G) \ \forall \ \phi \in End(G)$.

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Outline

- Fixed subgroups in free groups (history
- New results in free groups
- Fixed subgroups in surface groups (history)
- New results in surface groups
- 5 New results in direct products of free and surface groups

The proof of main Theorem for free groups works for surface groups of negative Euler characteristic as well. For non-negative Euler characteristic one can prove the inertia conjecture directly.

Proposition

Let G be either $F_0 = S_0 = 1$, or $S_1 = \mathbb{Z}^2$, or $NS_1 = \mathbb{Z}/2\mathbb{Z}$, or NS_2 , and let $\mathcal{B} \subseteq End(G)$. Then, Fix \mathcal{B} is inert in G.

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Let G be a surface group, let $\mathcal{B} \subseteq End(G)$, and let $\beta_0 \in \langle \mathcal{B} \rangle \leqslant End(G)$ be with $r(\beta_0(G))$ minimal. Then, for every subgroup $K \leqslant G$ such that $\beta_0(K) \cap Fix \mathcal{B} \leqslant K$, we have $r(K \cap Fix) \leqslant r(K)$.

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Definition

A product group is a group of the form $G = G_1 \times \cdots \times G_n$, where $n \ge 1$, and each G_i is either F_r , $r \ge 1$, or S_g , $g \ge 1$, or NS_k , $k \ge 1$. Block notation: $G = G_1^{n_1} \times \cdots \times G_m^{n_m}$, $n_i \ge 1$, and $G_i \not\simeq G_j$ for $i \ne j$; of course, $n = n_1 + \cdots + n_m$.

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- hyperbolic type if G_i is hyperbolic for every i;
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Let G be a product group. Then, $Z(G) = 1 \Leftrightarrow G$ is of hyperbolic type.

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Direct products (new)

Main result for fee products

- Assume G of hyperbolic type, let $\phi \in Aut(G)$, and let us prove that $r(Fix \phi) \leq r(G)$.
- By previous result, $\phi = \prod_{i=1}^{m} (\sigma_i \circ \prod_{j=1}^{n_i} \phi_{i,j})$. So,

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we are reduced to the case m=1, i.e., $G=G_1^n=G_{1,1}\times\cdots\times G_1$ $(G_{1,i}=G_1)$ and $\phi=\sigma\circ(\phi_1\times\cdots\times\phi_n)$, for $\sigma\in S_n,\,\phi_i\in Aut(G_{1,i})$

• If $\sigma = Id$ then $Fix \phi = Fix \phi_1 \times \cdots \times Fix \phi_n$ and so,

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- If $\sigma \neq Id$, considering its decomposition as a product of cycles, we can reduce to the case of a cycle, $\sigma = (n, n-1, \ldots, 1)$.
- In this situation, $\phi = \sigma \circ (\phi_1 \times \cdots \times \phi_n)$ has the form

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$$\begin{array}{cccc} \phi \colon G_{1,1} \times \dots \times G_{1,n} & \to & G_{1,1} \times \dots \times G_{1,n} \\ (g_1,\dots,g_n) & \mapsto & \sigma(\phi_1(g_1),\phi_2(g_2),\dots,\phi_n(g_n)) = \\ & = (\phi_n(g_n),\phi_1(g_1),\dots,\phi_{n-1}(g_{n-1})) \end{array}$$

Main result for fee products

- Assume G of hyperbolic type, let $\phi \in Aut(G)$, and let us prove that $r(Fix \phi) \leqslant r(G)$.
- By previous result, $\phi = \prod_{i=1}^m (\sigma_i \circ \prod_{i=1}^{n_i} \phi_{i,i})$. So,

$$Fix \phi = Fix \left(\sigma_1 \circ (\phi_{1,1} \times \cdots \times \phi_{1,n_1})\right) \times \cdots \times Fix \left(\sigma_m \circ (\phi_{m,1} \times \cdots \times \phi_{m,n_m})\right),$$

we are reduced to the case m = 1, i.e., $G = G_1^n = G_{1,1} \times \cdots \times G_{1,n}$ $(G_{1,i} = G_1)$ and $\phi = \sigma \circ (\phi_1 \times \cdots \times \phi_n)$, for $\sigma \in S_n$, $\phi_i \in Aut(G_{1,i})$.

• If $\sigma = \text{Id then Fix } \phi = \text{Fix } \phi_1 \times \cdots \times \text{Fix } \phi_n \text{ and so,}$

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Let *G* be a product group of mixed type. Then, $\exists \phi \in Aut(G)$ such that $r(Fix\phi) > r(G)$.

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- Let us distinguish the 3 cases: $G_2 = F_r$, $G = S_a$, or $G = NS_k$.
- ightarrow Case 1: $G_2 = F_r = \langle a_1, \ldots, a_r \mid \rangle, r \geqslant 2.$
- Consider $\phi \in Aut(G)$ fixing G_1 pointwise and mapping $a_1 \mapsto ta_1, a_2 \mapsto a_2, \ldots, a_r \mapsto a_r$. This is well defined because t commutes with all of G_1 .
- Now, ϕ maps $w(a_1, \ldots, a_r) \mapsto w(ta_1, a_2, \ldots, a_r) = t^{|w|_1} w(a_1, \ldots, a_r)$, where $|w|_1 \in \mathbb{Z}$ is the total a_1 -exponent of $w \in G_2$.
- Hence, $Fix \phi = G_1 \times \{w \in G_2 \mid |w|_1 \equiv 0\} = G_1 \times \ker \pi$, where $\pi \colon G_2 \twoheadrightarrow \mathbb{Z}/o(t)\mathbb{Z}$, $w \mapsto |w|_1$, and \equiv means equality of integers modulo o(t).
- But ker π is a normal subgroup of $G_2 = F_r$ of either infinite index (and so, infinitely generated) or of index 2 (and so, $r(\ker \pi) = 1 + 2(r-1) = 2r-1$).
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- As in case 1, $w(a_1, b_1, ..., a_g, b_g) \mapsto w(ta_1, b_1, ..., a_g, b_g) = t^{|w|_1} w(a_1, b_1, ..., a_g, b_g)$, where $|w|_1 \in \mathbb{Z}$ is the total a_1 -exponent of $w \in G_2$ (which makes sense because the def. rel. in G_2 has total a_1 -exponent equal to zero)
- Hence, as above, $Fix \phi = G_1 \times \{w \in G_2 \mid |w|_1 \equiv 0\} = G_1 \times \ker \pi$, where $\pi \colon G_2 \twoheadrightarrow \mathbb{Z}/o(t)\mathbb{Z}$, $w \mapsto |w|_1$, and \equiv means equality of integers modulo o(t)
- We conclude like above, after proving that r(ker π) > r(G₂) = 2g.
 If o(t) = 2, this is true because ker π ≤₂ G₂ and so, ker π is a surface group of bigger genus (and rank).
- If $o(t) = \infty$ then $\ker \pi \leq_{\infty} G_2$ (so, free), and $\ker \pi$ is infinitely generated by the following argument: $\forall x \in G_2 \setminus \ker \pi$, we have $[G_2 : \langle \ker \pi, x \rangle] = [\mathbb{Z} : \langle \pi(x) \rangle] = |\pi(x)| < \infty$ and so, $\langle \ker \pi, x \rangle$ is a surface. with $\chi(\langle \ker \pi, x \rangle) = [G_2 : \langle \ker \pi, x \rangle] \chi(G_2) = |\pi(x)| (2 2g)$ and thus $\pi(\langle \ker \pi, x \rangle) = 2 + |\pi(x)| (2g 2g)$

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- Choosing x appropriately, this rank is arbitrarily big and therefore

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- \rightarrow Case 2: $G_2 = S_q = \langle a_1, b_1, \dots, a_q, b_q \mid [a_1, b_1] \cdots [a_q, b_q] \rangle, g \geqslant 2.$
- Consider $\phi \in Aut(G)$ fixing G_1 pointwise, and mapping $a_1 \mapsto ta_1, b_1 \mapsto b_1, \dots, a_q \mapsto a_q, b_q \mapsto b_q$. It is well defined because t commutes with b₁ and all of G₁.
- As in case 1, $w(a_1, b_1, ..., a_a, b_a) \mapsto w(ta_1, b_1, ..., a_a, b_a) =$ $t^{|w|_1}w(a_1,b_1,\ldots,a_a,b_a)$, where $|w|_1\in\mathbb{Z}$ is the total a_1 -exponent of $w \in G_2$ (which makes sense because the def. rel. in G_2 has total a₁-exponent equal to zero).
- Hence, as above, $Fix \phi = G_1 \times \{w \in G_2 \mid |w|_1 \equiv 0\} = G_1 \times \ker \pi$, where $\pi: G_2 \to \mathbb{Z}/o(t)\mathbb{Z}$, $w \mapsto |w|_1$, and \equiv means equality of integers modulo o(t).
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- Consider $\phi \in Aut(G)$ fixing G_1 pointwise, and mapping $a_1 \mapsto ta_1, \ b_1 \mapsto b_1, \dots, \ a_g \mapsto a_g, \ b_g \mapsto b_g$. It is well defined because t commutes with b_1 and all of G_1 .
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Characterizing compression

It is natural to ask for similar characterizations of full compression and full inertia.

Theorem (Zhang-Wu-V., 15

Let $G = G_1 \times \cdots \times G_n$ be a product group. If $Fix \phi$ is compressed in G for every $\phi \in Aut(G)$, then G must be of one of the following forms:

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(euc1) G=\mathbb{Z}^p	imes (\mathbb{Z}/2\mathbb{Z})^q for some p,q\geqslant 0; or
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(euc2)
$$G = NS_2 \times (\mathbb{Z}/2\mathbb{Z})^q$$
 for some $q \geqslant 0$; or

(euc3)
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(euc4)
$$G = NS_2^{\ell} \times \mathbb{Z}^p$$
 for some $\ell \geqslant 1$, $p \geqslant 0$; or

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(hyp1) G = F_r \times NS_3^{\ell} for some r \ge 2, \ell \ge 0; or
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$$G = S_g \times NS_3^{\ell}$$
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(hyp3)
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- (euc2) $G = NS_2 \times (\mathbb{Z}/2\mathbb{Z})^q$ for some $q \geqslant 0$; or
- (euc3) $G = NS_2 \times \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})$ for some $p \geqslant 1$; or
- (euc4) $G = NS_2^{\ell} \times \mathbb{Z}^p$ for some $\ell \geqslant 1$, $p \geqslant 0$; or
- (hyp1) $G = F_r \times NS_3^{\ell}$ for some $r \geqslant 2$, $\ell \geqslant 0$; or
- (hyp2) $G = S_g \times NS_3^{\ell}$ for some $g \geqslant 2, \ell \geqslant 0$; or
- (hyp3) $G = NS_k \times NS_3^{\ell}$ for some $k \geqslant 3$, $\ell \geqslant 0$.

Characterizing inertia

Theorem (Zhang-Wu-V., 15)

Let $G = G_1 \times \cdots \times G_n$ be a product group. If $Fix \phi$ is inert in G for every $\phi \in Aut(G)$, then G is of one of the forms: (euc1), or (euc2), or (euc3), or (euc4), or

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Conjecture (Zhang-Wu-V., 15)

Let $G = G_1 \times \cdots \times G_n$ be a product group. Then, the following are equivalent:

- (a) every $\phi \in End(G)$ satisfies that $Fix \phi$ is inert in G,
- (b) every $\phi \in Aut(G)$ satisfies that $Fix \phi$ is inert in G,
- (c) G is of the form (euc1), or (euc2), or (euc3), or (euc4), or (hyp1'), or (hyp2'), or (hyp3').

Characterizing inertia

Theorem (Zhang-Wu-V., 15)

Let $G = G_1 \times \cdots \times G_n$ be a product group. If $Fix \phi$ is inert in G for every $\phi \in Aut(G)$, then G is of one of the forms: (euc1), or (euc2), or (euc3), or (euc4), or

- (hyp1') $G = F_r$ for some $r \geqslant 2$; or
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THANKS