# Free affine actions on linear $\Lambda$ -trees

## Shane O Rourke

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Let 
$$d(\lambda, \mu) = |\lambda - \mu| = \max\{\lambda - \mu, \mu - \lambda\}.$$

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In fact,  $\Lambda$  is a  $\Lambda$ -tree. I'll call  $\Lambda$  itself considered as a  $\Lambda$ -tree a line. I will only look at actions on lines today.

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Which groups admit a free isometric action on a line?



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1 An ordered abelian group  $\Lambda$  acts freely on itself by translation, as does every subgroup of  $\Lambda$ .



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- **3** The group of isometries of  $\Lambda$  is isomorphic to  $\Lambda \rtimes C_2$ .

Therefore a group admits a free isometric action (without inversions) on a line if and only if it is torsion-free abelian.

Actions of groups on  $\Lambda$ -trees by affine automorphisms have also been studied.

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I. Liousse 'Actions affines sur les arbres réels'. Math. Z. (2001).



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An affine automorphism  $\phi$  of a real metric space X is a surjective function  $X \to X$  for which there exists  $\alpha = \alpha_{\phi} \in \mathbb{R}$  such that

$$d(\phi x, \phi y) = \alpha_{\phi} d(x, y).$$

Such an  $\alpha_{\phi}$  must be positive (assuming |X| > 1).

#### Theorem (I. Liousse (2001))

There are groups that admit free affine actions on  $\mathbb{R}$ -trees that don't admit free isometric actions on any  $\mathbb{R}$ -tree. An example is

 $\mathsf{F}_0 = \langle x_1, x_2, x_3, y_1, y_2, y_3 \mid [x_1, y_1] = [x_2, y_2] = [x_3, y_3] \rangle.$ 



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(The group  $\Gamma_0$  above is the fundamental group of three punctured tori with their boundaries identified.)

In earlier work we have shown

# Theorem (A. Martino, SOR (2004)) Liousse's groups do admit a free isometric action on a $\mathbb{Z}^n$ -tree for some n.

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$$d(\phi x, \phi y) = \alpha_{\phi} d(x, y) \ \forall x, y.$$



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So let

$$\left.\begin{array}{ccc} \alpha: \mathcal{G} & \to & \operatorname{Aut}^+(\Lambda) \\ g & \mapsto & \alpha_g \end{array}\right\}$$

be a homomorphism ( $\operatorname{Aut}^+$  denotes the group of order-preserving automorphisms).

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So let

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be a homomorphism (Aut<sup>+</sup> denotes the group of order-preserving automorphisms). An  $\alpha$ -affine action of G on a  $\Lambda$ -tree X is an action satisfying

$$d(gx,gy) = \alpha_g d(x,y) \quad \forall x,y \in X$$

Some features of affine actions on general  $\Lambda$ -trees.

The based length function (Lyndon length function)
L<sub>x</sub> : g → d(x, gx) can be defined and in fact determines an affine action much as in the isometric case. (The hyperbolic length function does *not* generalise usefully however.)

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- The based length function (Lyndon length function) L<sub>x</sub>: g → d(x, gx) can be defined and in fact determines an affine action much as in the isometric case. (The hyperbolic length function does *not* generalise usefully however.)
- 2 The class ATF of groups that admit a free affine action on a Λ-tree for some Λ is closed under free products and ultraproducts.

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Some features of affine actions on general A-trees.

- **1** The based length function (Lyndon length function)  $L_x : g \mapsto d(x, gx)$  can be defined and in fact determines an affine action much as in the isometric case. (The hyperbolic length function does *not* generalise usefully however.)
- 2 The class ATF of groups that admit a free affine action on a Λ-tree for some Λ is closed under free products and ultraproducts.
- 3 As in the isometric case, a group G is
  - Iocally in ATF or
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if and only if G is in ATF.



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Which groups admit a free affine action on a line? Define an action of  $\Gamma = \langle a, t \rangle$  on  $\mathbb{Z} \times \mathbb{R}$  via

$$a \cdot (m, x) = (m, x+1)$$
  
 $t \cdot (m, x) = (m+1, rx).$ 

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This action is also rigid in the sense that  $g[x, y] \subseteq [x, y]$  implies g[x, y] = [x, y] (and hence g = 1 since the action is free).

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Note that

• 
$$\operatorname{Aut}^+(\mathbb{Z}^n) \cong \operatorname{UT}(n,\mathbb{Z}).$$



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- $\operatorname{Aut}^+(\mathbb{Z}^n) \cong \operatorname{UT}(n,\mathbb{Z}).$
- for affine automorphisms g of  $\Lambda$ , there exists  $\mu_g \in \Lambda$  such that  $g \cdot \lambda = \alpha_g(\lambda) + \mu_g$  and thus  $\begin{pmatrix} \alpha_g & \mu_g \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \lambda \\ 1 \end{pmatrix} = \begin{pmatrix} g \cdot \lambda \\ 1 \end{pmatrix}.$

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- It follows that any G that admits a free affine action on Z<sup>n</sup> must embed in UT(n+1, Z) ≅ Z<sup>n</sup> ⋊ Aut<sup>+</sup>(Z<sup>n</sup>).

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- The group of all (order-preserving) affine automorphisms of Λ is Λ ⋊ Aut<sup>+</sup>(Λ), and can be represented by matrices as above.
- It follows that any G that admits a free affine action on Z<sup>n</sup> must embed in UT(n+1, Z) ≅ Z<sup>n</sup> ⋊ Aut<sup>+</sup>(Z<sup>n</sup>).

But the natural action of  $UT(n+1,\mathbb{Z})$  on  $\mathbb{Z}^n$  is not free.

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Call a matrix  $A \in UT(m+1,\mathbb{Z})$  (or even  $T(m+1,\mathbb{R})$ ) admissible if A = I or if the lowest non-zero entry of A - I lies in the last column and is strictly lower than any other non-zero entry.

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So  $A \neq I$  is admissible if and only if A fixes no point and is rigid.

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Question: Which groups admit a representation as admissible matrices in  $UT(m + 1, \mathbb{Z})$  for some m?

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**Example:** Consider  $x : (n_1, n_2, n_3) \mapsto (n_1, n_2 + 1, n_3)$  and  $y : (n_1, n_2, n_3) \mapsto (n_1 + 1, n_2, n_3 + n_2)$ .



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$$\underbrace{\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}}_{x} \begin{pmatrix} n_3 \\ n_2 \\ n_1 \\ 1 \end{pmatrix} = \begin{pmatrix} n_3 \\ n_2 + 1 \\ n_1 \\ 1 \end{pmatrix}$$
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The group  $\langle x, y \rangle$  is in fact isomorphic to the discrete Heisenberg group  $H_3(\mathbb{Z}) = UT(3, \mathbb{Z})$ .



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The group  $\langle x, y \rangle$  is in fact isomorphic to the discrete Heisenberg group  $H_3(\mathbb{Z}) = UT(3, \mathbb{Z})$ .

Question: Do all unitriangular groups  $UT(n, \mathbb{Z})$  admit a faithful representation as admissible matrices?

Hint (K. Dekimpe): Look at affine structures on  $UT(n, \mathbb{Z})$ , left symmetric algebras.

(See K. Dekimpe, W. Malfait 'Affine structures on a class of virtually nilpotent groups', Top. Appl. 1996 for more details.)

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• Consider  $\mathfrak{g} = \mathfrak{ut}(n, \mathbb{Q})$ ;

[x, y] = xy - yx. (Lie bracket on  $\mathfrak{g}$ )



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• Consider  $\mathfrak{g} = \mathfrak{ut}(n, \mathbb{Q})$ ;

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If  $x_i$  has all entries equal to zero apart from those on the *i*th superdiagonal, put

$$x_i \cdot x_j = \frac{j}{i+j} [x_i, x_j].$$

Extend to a binary operation on  $\mathfrak{g}$  using bilinearity.

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Extend to a binary operation on  $\mathfrak{g}$  using bilinearity. This gives a left symmetric structure on  $\mathfrak{g}$ . That is,  $\cdot$  is a bilinear operator satisfying

1 
$$[x, y] \cdot z = x \cdot (y \cdot z) - y \cdot (x \cdot z);$$
  
2  $[x, y] = x \cdot y - y \cdot x.$ 

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For example, if 
$$n = 4$$
 then  $t : \begin{pmatrix} 0 & s & v & w \\ 0 & 0 & r & u \\ 0 & 0 & 0 & q \\ 0 & 0 & 0 & 0 \end{pmatrix} \mapsto \begin{pmatrix} \frac{w}{v} \\ \frac{u}{s} \\ r \\ q \end{pmatrix}$ 

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Define

$$\lambda : \mathfrak{g} \to \mathfrak{gl}(m, \mathbb{Q})$$
  
 $\lambda(x) : t(y) \mapsto t(x \cdot y)$ 

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$$\lambda : \mathfrak{g} \to \mathfrak{gl}(m, \mathbb{Q})$$
  
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Then  $\lambda(x)$  is an  $m \times m$  upper triangular matrix.

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• Put 
$$d\bar{\gamma}(x) = \begin{pmatrix} \lambda(x) & t(x) \\ 0 & 0 \end{pmatrix}$$
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• Let  $\bar{\gamma}: g \mapsto \exp \cdot d\bar{\gamma} \cdot \log(g)$ 

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Put dγ̄(x) = (λ(x) t(x) 0 0).
Then dγ̄ is a complete affine structure meaning that
1 the linear part λ(x) of each dγ̄(x) is a nilpotent matrix;
2 the translation part t of dγ̄ is a vector space isomorphism.
This defines dγ̄ : ut(n, Q) → ut(m + 1, Q).

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• Let 
$$\bar{\gamma}: g \mapsto \exp \cdot d\bar{\gamma} \cdot \log(g)$$

## Proposition

 $\bar{\gamma} : \mathrm{UT}(n, \mathbb{Q}) \to \mathrm{UT}(m+1, \mathbb{Q})$  is an injective group homomorphism with admissible image.

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Example: If 
$$n = 3$$
 and  $x_i = \begin{pmatrix} 0 & s_i & v_i \\ 0 & 0 & r_i \\ 0 & 0 & 0 \end{pmatrix}$   $(i = 1, 2)$ , then  
 $x_1 \cdot x_2 = \begin{pmatrix} 0 & 0 & \frac{r_2 s_1}{2} - \frac{r_1 s_2}{2} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ ,  
 $t(x_2) = \begin{pmatrix} v_2 \\ s_2 \\ r_2 \end{pmatrix} t(x_1 \cdot x_2) = \begin{pmatrix} \frac{r_2 s_1}{2} - \frac{r_1 s_2}{2} \\ 0 \\ 0 \end{pmatrix}$   
This gives  $\lambda(x_1) = \begin{pmatrix} 0 & -\frac{r_1}{2} & \frac{s_1}{2} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$   
and hence  $d\bar{\gamma}(x_1) = \begin{pmatrix} 0 & -\frac{r_1}{2} & \frac{s_1}{2} \\ 0 & 0 & 0 \\ 0 & 0 & 0 & s_1 \\ 0 & 0 & 0 & 1 \end{pmatrix}$ .

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It follows that if 
$$g = \begin{pmatrix} 1 & s & v \\ 0 & 1 & r \\ 0 & 0 & 1 \end{pmatrix}$$
 then  
 $\bar{\gamma}(g) = \exp \cdot d\bar{\gamma} \cdot \log(g) = \begin{pmatrix} 1 & -r/2 & s/2 & v - rs/2 \\ 0 & 1 & 0 & s \\ 0 & 0 & 1 & r \\ 0 & 0 & 0 & 1 \end{pmatrix}$ 

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1 The proposition above shows that  $UT(n, \mathbb{Q})$  has a free rigid affine action on  $\mathbb{Q}^m$ .



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- **1** The proposition above shows that  $UT(n, \mathbb{Q})$  has a free rigid affine action on  $\mathbb{Q}^m$ .
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Free affine actions on linear A-trees

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## Theorem

The groups that admit free affine actions on  $\mathbb{Z}^n$  for some n are precisely finitely generated torsion-free nilpotent groups.

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## Corollary

- Every locally residually torsion-free nilpotent group admits a free rigid affine action on a line.
- **2** Every free polynilpotent group (of given class row) admits a free rigid affine action on a line.

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Recall (once more) that BS(1, r) admits a free rigid action on  $\mathbb{Z} \times \mathbb{R}$ , via

$$a \cdot (m, x) = (m, x+1)$$
  
 $t \cdot (m, x) = (m+1, rx).$ 

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$$a \mapsto \left( \begin{array}{ccc} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{array} 
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So what other (non-nilpotent) groups of upper triangular matrices admit free affine actions on  $\mathbb{R}^n$  for some n?

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Let  $B = T^*(n, \mathbb{R})$  denote the group of all upper triangular matrices with real entries and positive diagonal entries.



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Then  $B = U \rtimes D^*$ , where U denotes unipotent matrices and  $D^*$  denotes diagonal matrices with positive diagonal entries.

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#### Theorem

The group  $T^*(n, \mathbb{R})$  admits an embedding in  $T^*(m + n + 1, \mathbb{R})$ with admissible image. Thus  $T^*(n, \mathbb{R})$  admits a free rigid affine action on  $\mathbb{R}^{m+n}$  (considered as an  $\mathbb{R}^{m+n}$ -tree). Let  $B = T^*(n, \mathbb{R})$  denote the group of all upper triangular matrices with real entries and positive diagonal entries.

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#### Theorem

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The proof loosely follows an argument of John Milnor (see the proof of Theorem 1.2 in 'On Fundamental Groups of Complete Affinely Flat Manifolds' (Adv. Math. 1977)).

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We already have an admissible embedding  $\varphi = \overline{\gamma} : U \to UT(m+1, \mathbb{R})$ . Write

$$arphi(u) = \left(egin{array}{cc} arphi_0(u) & b(u) \ 0 & 1 \end{array}
ight)$$

where  $\varphi_0(u) \in UT(m, \mathbb{R})$  and  $b(u) \in \mathbb{R}^m$ .



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where  $\varphi_0(u) \in UT(m, \mathbb{R})$  and  $b(u) \in \mathbb{R}^m$ . For  $d = diag(d_1, \ldots, d_n)$ , let  $d^* = diag(\frac{d_1}{d_n}; \frac{d_1}{d_{n-1}}, \frac{d_2}{d_n}; \ldots; \frac{d_1}{d_2}, \frac{d_2}{d_3}, \ldots, \frac{d_{n-1}}{d_n})$ , an  $m \times m$  diagonal matrix.

Let  $\log(d)$  denote the column vector  $(\log d_1, \ldots, \log d_n)^T$ .

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Let  $\log(d)$  denote the column vector  $(\log d_1, \ldots, \log d_n)^T$ .

Now define  $\bar{\varphi}(u) = \begin{pmatrix} \varphi_0(u) & 0 & b(u) \\ 0 & I_n & 0 \\ 0 & 0 & 1 \end{pmatrix}$ 

and

$$ar{arphi}(d) = \left(egin{array}{ccc} d^{*} & 0 & 0 \ 0 & I_{n} & \log(d) \ 0 & 0 & 1 \end{array}
ight)$$

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#### Then

### Proposition

$$\ \ \, \bar{\varphi}(dud^{-1})=\bar{\varphi}(d)\bar{\varphi}(u)\bar{\varphi}(d^{-1}).$$

2  $\bar{\varphi}$ :  $T^*(n, \mathbb{R}) \to T^*(m + n + 1, \mathbb{R})$  is an injective homomorphism with admissible image.

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#### Then

### Proposition

## Consequently,

#### Theorem

 $T^*(n,\mathbb{R})$  admits a free rigid affine action on  $\mathbb{R}^{m+n}$ .

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# **Example:** n = 3A typical element of $T^*(3, \mathbb{R})$ is expressible in the form *ud* where $u = \begin{pmatrix} 1 & y & z \\ 0 & 1 & x \end{pmatrix}$ and $d = \begin{pmatrix} r & 0 & 0 \\ 0 & c & 0 \end{pmatrix}$

$$u = \left(\begin{array}{cc} 0 & 1 & x \\ 0 & 0 & 1 \end{array}\right) \text{ and } d = \left(\begin{array}{cc} 0 & s & 0 \\ 0 & 0 & t \end{array}\right)$$

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## **Example:** n = 3A typical element of $T^*(3, \mathbb{R})$ is expressible in the form *ud* where

$$u = \begin{pmatrix} 1 & y & z \\ 0 & 1 & x \\ 0 & 0 & 1 \end{pmatrix} \text{ and } d = \begin{pmatrix} r & 0 & 0 \\ 0 & s & 0 \\ 0 & 0 & t \end{pmatrix}.$$
  
Now  $\varphi(u) = \begin{pmatrix} 1 & -x/2 & y/2 & | & z - xy/2 \\ 0 & 1 & 0 & | & y \\ 0 & 0 & 1 & | & x \\ \hline 0 & 0 & 0 & | & 1 \end{pmatrix} \text{ so that}$ 
$$\varphi_0(u) = \begin{pmatrix} 1 & -x/2 & y/2 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \text{ and } b(u) = \begin{pmatrix} z - xy/2 \\ y \\ x \end{pmatrix}$$

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Image: A matrix

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Also 
$$d^* = \left( egin{array}{ccc} r/t & 0 & 0 \\ 0 & r/s & 0 \\ 0 & 0 & s/t \end{array} 
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 so that  $ar{arphi}(ud) = ar{arphi}(u)ar{arphi}(d)$ 



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 so that  $\bar{\varphi}(ud) = \bar{\varphi}(u)\bar{\varphi}(d)$  where  
$$\bar{\varphi}(u) = \begin{pmatrix} 1 & -x/2 & y/2 & 0 & 0 & 0 & | & z - xy/2 \\ 0 & 1 & 0 & 0 & 0 & 0 & y \\ 0 & 0 & 1 & 0 & 0 & 0 & y \\ 0 & 0 & 1 & 0 & 0 & 0 & x \\ \hline 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

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$$\begin{split} \operatorname{Also} d^* &= \begin{pmatrix} r/t & 0 & 0 \\ 0 & r/s & 0 \\ 0 & 0 & s/t \end{pmatrix} \text{ so that } \bar{\varphi}(ud) = \bar{\varphi}(u)\bar{\varphi}(d) \text{ where} \\ \\ \left[ \begin{array}{c} 1 & -x/2 & y/2 & 0 & 0 & 0 & z - xy/2 \\ 0 & 1 & 0 & 0 & 0 & 0 & y \\ 0 & 0 & 1 & 0 & 0 & 0 & x \\ \hline 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline \varphi(d) &= \begin{pmatrix} r/t & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & r/s & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & r/s & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 1 & 0 & \log(r) \\ \hline 0 & 0 & 0 & 0 & 0 & 1 & \log(s) \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ \hline \end{array} \right]$$

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Go raibh maith agaibh!



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