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# The conjugacy problem in automaton groups is not solvable

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Departament de Matemàtica Aplicada III

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Webinar

October 20th, 2012.

3. Orbit decidability

4. Automaton groups

### Outline



- 2 Strategy of the proof
- Orbit decidability



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- 4 Automaton groups

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### Main result

#### Theorem (Sunic-V.)

There exist automaton groups (i.e. self-similar groups generated by finite self-similar sets) with unsolvable conjugacy problem.

- Grigorchuk-Nekrashevych-Sushchanskii (00): Is CP solvable for automaton groups ?
- WP is solvable for all such groups (straightforward, at most exponential time).
- WP is solvable in polynomial time, for the subclass of f.g. contracting groups.

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### **Related results**

• Leonov (98) and Rozhkov (98) indep.: CP for the first Grigorchuk group.

- Wilson-Zaleskii (97): CP for the Gupta-Sidki groups.
- Grigorchuk-Wilson (00): CP for all subgroups of finite index in the first Grigorchuk group.
- Bondarenko-Bondarenko-Sidki-Zapata (10): CP for groups generated by bounded automata (i.e. Pol(0) groups).
- Lysenok-Myasnikov-Ushakov (10): CP in polynomial time for the first Grigorchuk group.

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A question

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#### Our examples contain free nonabelian subgroups, so

#### Question

• Is the CP solvable for all f.g., contracting, self-similar groups ?

• Is the CP solvable for automaton groups in Pol(n), for  $n \ge 1$ ?

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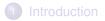
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2. Strategy of the proof ●O 3. Orbit decidability

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# Strategy of the proof

Will use results from Bogopolski-Martino-Ventura:

Observation (B-M-V, 08)

Let *H* be f.g., and  $\Gamma \leq Aut(H)$  f.g. If  $\Gamma \leq Aut(H)$  is orbit undecidable then  $H \rtimes \Gamma$  has unsolvable *CP*.

and

Proposition (B-M-V, 08)

For  $d \ge 4$ , there exist f.g., orbit undecidable, subgroups  $\Gamma \leqslant GL_d(\mathbb{Z})$ .

and then show that

Theorem (Sunic-V.)

Let  $\Gamma \leq GL_d(\mathbb{Z})$  be f.g. Then,  $\mathbb{Z}^d \rtimes \Gamma$  is an automaton group.

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With an easy and nice idea due to Zoran, we get the improvement

Proposition (Sunic-V.)

For  $d \ge 6$ ,  $GL_d(\mathbb{Z})$  contains f.g., orbit undecidable, free, subgroups.

Hence, we deduce:

Theorem (Sunic-V.)

For  $d \ge 6$ , there exists a f.p. group G simultaneously satisfying the following three conditions:

- G is  $\mathbb{Z}^d$ -by-free,
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# Orbit decidability

(joint work with O. Bogopolski and A. Martino)

#### Definition

Let H be f.g. A subgroup  $\Gamma \leq \operatorname{Aut}(H)$  is said to be orbit decidable (O.D.) if there is an algorithm s.t., given  $u, v \in H$ , it decides whether v and  $\alpha(u)$  are conjugate, for some  $\alpha \in \Gamma$ .

First examples:  $H = \mathbb{Z}^d$ 

Observation (folklore)

The full group  $\operatorname{Aut}(\mathbb{Z}^d) = \operatorname{GL}_d(\mathbb{Z})$  is orbit decidable.

**Proof.** For  $u, v \in \mathbb{Z}^d$ , there exists  $A \in GL_d(\mathbb{Z})$  such that v = Au if and only if  $gcd(u_1, \ldots, u_d) = gcd(v_1, \ldots, v_d)$ .

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# OD subgroups in $GL_d(\mathbb{Z})$

Proposition (linear algebra)

For  $A \in GL_d(\mathbb{Z})$ , the subgroup  $\langle A \rangle \leqslant GL_d(\mathbb{Z})$  is O.D.

Proposition (Bogopolski-Martino-V., 08) Finite index subgroups of  $GL_d(\mathbb{Z})$  are O.D.

Proposition (Bogopolski-Martino-V., 08)

Every finitely generated subgroup of  $GL_2(\mathbb{Z})$  is O.D.

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# OD subgroups in $Aut(F_r)$

Examples over the free group:  $H = F_r$ 

#### Theorem (Whitehead'30)

The full group  $\operatorname{Aut}(F_r)$  is orbit decidable. That is, given  $u, v \in F_r$  one can decide whether  $v = \alpha(u)$  for some  $\alpha \in \operatorname{Aut}(F_r)$ .

Proof. This is a classical and very influential result.

#### Theorem (Brinkmann, 06)

Cyclic groups of Aut( $F_r$ ) are orbit decidable. That is, given  $\varphi \in Aut(F_r)$  and  $u, v \in F_r$ , one can decide whether  $v = \varphi^n(u)$ , up to conjugacy, for some  $n \in \mathbb{Z}$ .

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#### Proposition (Bogopolski-Martino-V., 08)

Finite index subgroups of  $Aut(F_r)$  are O.D.

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Every finitely generated subgroup of  $Aut(F_2)$  is O.D.

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### Connection to semidirect products

#### Observation (B-M-V)

Let H be f.g., and  $\Gamma \leq Aut(H)$  f.g. If  $H \rtimes \Gamma$  has solvable CP, then  $\Gamma \leq Aut(H)$  is orbit decidable.

**Proof.**  $G = H \rtimes \Gamma$  contains elements  $(h, \gamma) \in H \times \Gamma$  operated like

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## Connection to semidirect products

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In fact, for the free and free abelian cases (among others), the convers is also true, after "erasing the relations from  $\Gamma$ ":

### Theorem (B-M-V, 08)

Let H be  $\mathbb{Z}^d$  or  $F_r$ , and  $\Gamma \leq \operatorname{Aut}(H)$  generated by  $\alpha_1, \ldots, \alpha_m$ . Then,  $H \rtimes_{\alpha_1, \ldots, \alpha_m} F_m$  has solvable CP if and only if  $\Gamma = \langle \alpha_1, \ldots, \alpha_m \rangle \leq \operatorname{Aut}(H)$  is orbit decidable.

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# Connection to semidirect products

Corollary (Bogopolski-Martino-Maslakova-V., 06)

Free-by-cyclic groups have solvable conjugacy problem.

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If  $\Gamma = \langle \varphi_1, \dots, \varphi_m \rangle$  has finite index in Aut( $F_r$ ) then  $F_r \rtimes_{\varphi_1, \dots, \varphi_m} F_m$  has solvable conjugacy problem.

#### Corollary

Every F<sub>2</sub>-by-free group has solvable conjugacy problem.

What we shall use is:

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## Finding orbit undecidable subgroups

But...

Theorem (Miller, 70's)

There are free-by-free groups with unsolvable conjugacy problem.

So, there must be orbit undecidable subgroups in Aut ( $F_r$ ), for  $r \ge 3$ . Where are them ?

Proposition (Bogopolski-Martino-V., 08)

Let *H* be a group, and let  $A \leq B \leq Aut(H)$  and  $v \in H$  be such that  $B \cap Stab^*(v) = 1$ . Then,

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So, deciding whether v can be mapped to w, up to conjugacy, by somebody in A, is the same as deciding whether  $\varphi$  belongs to A. Hence,

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So,...

Taking the copy B of  $F_2 \times F_2$  in Aut( $F_3$ ) via the embedding

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and a Mihailova subgroup in there  $A \leq B \leq \operatorname{Aut}(F_3)$  (taking v = qaqbq) one obtains precisely the orbit undecidable subgroups corresponding to Miller's examples.

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### Proposition (B-M-V, 08)

For  $d \ge 4$ , there exist f.g., orbit undecidable, subgroups  $\Gamma \leqslant GL_d(\mathbb{Z})$ .

**Proof.** Consider 
$$F_2 \simeq \langle P = \begin{pmatrix} 1 & 1 \\ 1 & 2 \end{pmatrix}, \ Q = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix} \rangle \leq_{24} GL_2(\mathbb{Z}).$$

• 
$$Stab(1,0) = \{M \mid (1,0)M = (1,0)\} = \{\begin{pmatrix} 1 & 0 \\ n & \pm 1 \end{pmatrix} \mid n \in \mathbb{Z}\}.$$

• 
$$\langle P, Q \rangle \cap Stab(1, 0) = \langle \begin{pmatrix} 1 & 0 \\ 12 & 1 \end{pmatrix}$$

• Choose a free subgroup  $F_2 \simeq \langle P', Q' \rangle \leq \langle P, Q \rangle$  such that  $\langle P', Q' \rangle \cap Stab(1, 0) = \{I\}$  and consider

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• Note that 
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$$B = \langle \left( \begin{array}{c|c} P' & 0 \\ \hline 0 & I \end{array} \right), \left( \begin{array}{c|c} Q' & 0 \\ \hline 0 & I \end{array} \right), \left( \begin{array}{c|c} I & 0 \\ \hline 0 & P' \end{array} \right), \left( \begin{array}{c|c} I & 0 \\ \hline 0 & Q' \end{array} \right) \rangle \leq GL_4(\mathbb{Z}).$$

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# Finding orbit undecidable subgroups

### Proposition (B-M-V, 08)

For  $d \ge 4$ , there exist f.g., orbit undecidable, subgroups  $\Gamma \leqslant GL_d(\mathbb{Z})$ .

**Proof.** Consider 
$$F_2 \simeq \langle P = \begin{pmatrix} 1 & 1 \\ 1 & 2 \end{pmatrix}, Q = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix} \leq_{24} GL_2(\mathbb{Z}).$$
  
• Stab $(1,0) = \{M \mid (1,0)M = (1,0)\} = \{\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \mid n \in \mathbb{Z}\}.$ 

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$$\langle P, Q \rangle \cap Stab(1,0) = \langle \begin{pmatrix} 1 & 0 \\ 12 & 1 \end{pmatrix} \rangle.$$

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### Finding orbit undecidable subgroups

### • Write v = (1, 0, 1, 0). By construction, $B \cap Stab(v) = \{I\}$ .

Take A ≤ B ≃ F<sub>2</sub> × F<sub>2</sub> with unsolvable membership problem.

By previous Proposition, A ≤ GL<sub>4</sub>(Z) is orbit undecidable.

• Similarly for  $A \leq GL_d(\mathbb{Z})$ ,  $d \geq 4$ .  $\Box$ 

#### Question

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## Finding orbit undecidable subgroups

- Write v = (1, 0, 1, 0). By construction,  $B \cap Stab(v) = \{I\}$ .
- Take  $A \le B \simeq F_2 \times F_2$  with unsolvable membership problem.
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# Playing with 2 extra dimensions...

These orbit undecidable examples  $\Gamma \leqslant GL_4(\mathbb{Z})$  come from Mihailova's construction, so they are not finitely presented...

### Proposition (Sunic-V.)

For  $d \ge 6$ ,  $GL_d(\mathbb{Z})$  contains f.g., orbit undecidable, free, subgroups.

### **Proof.** Let $d \ge 6$ .

- Since d − 2 ≥ 4, there exists (g<sub>1</sub>,..., g<sub>m</sub>) = Γ ≤ GL<sub>d−2</sub>(Z) being orbit undecidable.
- Let F<sub>m</sub> = ⟨f<sub>1</sub>,..., f<sub>m</sub>⟩, and choose matrices s<sub>1</sub>,..., s<sub>m</sub> ∈ GL<sub>2</sub>(ℤ) such that ⟨s<sub>1</sub>,..., s<sub>m</sub>⟩ ≃ F<sub>m</sub>.
- Consider the homomorphism given by

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- Since  $\langle s_1, \ldots, s_m \rangle \leq GL_2(\mathbb{Z})$  is free with basis  $\{s_1, \ldots, s_m\}$ , then  $\phi$  must be one-to-one, and its image F is a free subgroup of  $GL_d(\mathbb{Z})$  or rank m.
- Easy to see that F ≤ GL<sub>d</sub>(ℤ) is orbit undecidable (using the orbit undecidability of ⟨g<sub>1</sub>,...,g<sub>m</sub>⟩ = Γ ≤ GL<sub>d-2</sub>(ℤ)). □

In summary,

For  $d \ge 6$ , there exists a free  $\Gamma \le GL_d(\mathbb{Z})$  such that  $\mathbb{Z}^d \rtimes \Gamma$  has unsolvable CP.

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4. Automaton groups

### Outline



2 Strategy of the proof





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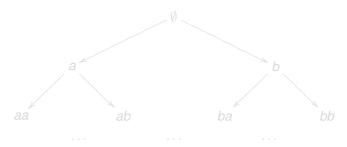
3. Orbit decidability

4. Automaton groups

# Tree automorphisms

### (joint work with Z. Sunic)

Let X be an alphabet on k letters, and let  $X^*$  be the free monoid on X, thought as a rooted k-ary tree:



#### Definition

• Every tree automorphism g decomposes as a root permutation  $\pi_g: X \to X$ , and k sections  $g|_x$ , for  $x \in X$ :

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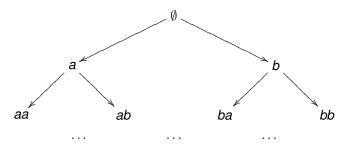
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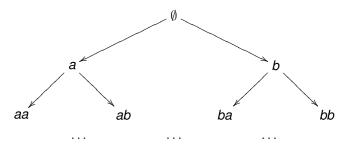
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 $\sigma(x, y, y) = (x, y) \sigma(x, y)$ 

### Automaton groups

### Definition

- A set of tree automorphisms is self-similar if it contains all sections of all of its elements.
- A finite automaton is a finite self-similar set (elements are called states).
- The group G(A) of tree automorphisms generated by an automaton A is called an automaton group.

The Grigorchuk group:  ${m {G}}=\langle lpha,\,eta,\,\gamma,\,\delta
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$$\alpha = \sigma(1,1), \quad \beta = 1(\alpha,\gamma), \quad \gamma = 1(\alpha,\delta), \quad \delta = 1(1,\beta).$$

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# Automaton groups

### Definition

- A set of tree automorphisms is self-similar if it contains all sections of all of its elements.
- A finite automaton is a finite self-similar set (elements are called states).
- The group G(A) of tree automorphisms generated by an automaton A is called an automaton group.

The Grigorchuk group:  ${m {G}} = \langle lpha, \, eta, \, \gamma, \, \delta 
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3. Orbit decidability

4. Automaton groups

### Affinities of *n*-adic integers

#### Definition

Let  $\mathcal{M} = \{M_1, \dots, M_m\}$  be integral  $d \times d$  matrices with non-zero determinants. Let  $n \ge 2$  be relatively prime to all these determinants (thus,  $M_i$  is invertible over the ring  $\mathbb{Z}_n$  of n-adic integers).

For an integral  $d \times d$  matrix M and  $\mathbf{v} \in \mathbb{Z}^d$ , consider the invertible affine transformation  $_{\mathbf{v}}M \colon \mathbb{Z}_n^d \to \mathbb{Z}_n^d, _{\mathbf{v}}M(\mathbf{u}) = \mathbf{v} + M\mathbf{u}$ .

Let

 $G_{\mathcal{M},n} = \langle \{ {}_{\mathbf{v}}M \mid M \in \mathcal{M}, \ \mathbf{v} \in \mathbb{Z}^d \} \rangle \leqslant Aff_d(\mathbb{Z}_n).$ 

#### emma

• The group  $G_{\mathcal{M},n}$  is finitely generated.

 If, in addition, det M<sub>i</sub> = ±1, then G<sub>M,n</sub> ≅ Z<sup>d</sup> × Γ, where Γ = ⟨M<sub>1</sub>,..., M<sub>m</sub>⟩ ≤ GL<sub>d</sub>(Z); in particular, G<sub>M,n</sub> does not depend on n.

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### Affinities of *n*-adic integers

### **Proof.** Denote the translation by $\tau_{\mathbf{v}} \colon \mathbb{Z}_n^d \to \mathbb{Z}_n^d$ , $\mathbf{u} \mapsto \mathbf{u} + \mathbf{v}$ .

Since  $_{\mathbf{v}}M = \tau_{\mathbf{v}} _{\mathbf{0}}M$ , we have  $G_{\mathcal{M},n}$  generated by  $_{\mathbf{0}}M$  for  $M \in \mathcal{M}$ , and  $\tau_{\mathbf{e}_i}$ , where the  $\mathbf{e}_i$ 's are the canonical vectors.

If  $M \in GL_d(\mathbb{Z})$ , then  ${}_{\mathbf{v}}M \in Aff_d(\mathbb{Z}_n)$  restricts to an integral bijective affine transformation  ${}_{\mathbf{v}}M \in Aff_d(\mathbb{Z})$ ; hence, we can view  $G_{\mathcal{M},n} \leq Aff_d(\mathbb{Z})$  (and is independent from n; let's denote it by  $G_{\mathcal{M}}$ ).

They get multiplied as

$$\mathbf{v} M_{\mathbf{v}'} M' : \mathbf{u} \longrightarrow \mathbf{v}' + M' \mathbf{u} \longrightarrow \mathbf{v} + M(\mathbf{v}' + M' \mathbf{u}) =$$
  
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# $G_{\mathcal{M}}$ is an automaton group

So, we have the groups  $G_{\mathcal{M},n}$  (with  $\mathcal{M} = \{M_1, \dots, M_m\}$  as before) and  $\det M_i = \pm 1 \Rightarrow G_{\mathcal{M},n} \cong \mathbb{Z}^d \rtimes \Gamma$ , where  $\Gamma = \langle M_1, \dots, M_m \rangle \leq \operatorname{GL}_d(\mathbb{Z})$ .

It only remains to prove that:

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### $G_{\mathcal{M}}$ is an automaton group

#### Definition

Elements in  $\mathbb{Z}_n$  may be (uniquely) represented as right infinite words over  $Y_n = \{0, ..., n-1\}$ :

$$y_1y_2y_3\cdots \iff y_1+n\cdot y_2+n^2\cdot y_3+\cdots$$

Similarly, elements of  $\mathbb{Z}_n^d$  (the free *d*-dimensional module, viewed as column vectors), may be (uniquely) represented as right infinite words over  $X_n = Y_n^d = \{(y_1, \ldots, y_d)^T \mid y_i \in Y_n\}$ :

$$\mathbf{x}_1 \mathbf{x}_2 \mathbf{x}_3 \cdots \quad \longleftrightarrow \quad \mathbf{x}_1 + n \cdot \mathbf{x}_2 + n^2 \cdot \mathbf{x}_3 + \cdots$$

Note that  $|Y_n| = n$  and  $|X_n| = n^d$ .

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For  $\mathbf{v} \in \mathbb{Z}^d$ , define vectors  $Mod(\mathbf{v}) \in X_n$  and  $Div(\mathbf{v}) \in \mathbb{Z}^d$  s.t.  $\mathbf{v} = Mod(\mathbf{v}) + n \cdot Div(\mathbf{v}).$ 

#### Lemma

For every  $\mathbf{v} \in \mathbb{Z}^d$ , and every  $\mathbf{x}_1 \mathbf{x}_2 \mathbf{x}_3 \ldots \in \mathbb{Z}_n^d$ , we have

 $\mathbf{v}M(\mathbf{x}_1\mathbf{x}_2\mathbf{x}_3\cdots) = \mathsf{Mod}(\mathbf{v} + M\mathbf{x}_1) + n \cdot_{\mathsf{Div}(\mathbf{v} + M\mathbf{x}_1)} M(\mathbf{x}_2\mathbf{x}_3\mathbf{x}_4\cdots).$ 

#### Proof.

$$\mathbf{v} M(\mathbf{x}_1 \mathbf{x}_2 \cdots) = \mathbf{v} + M \mathbf{x}_1 \mathbf{x}_2 \cdots = \mathbf{v} + M(\mathbf{x}_1 + n \cdot (\mathbf{x}_2 \mathbf{x}_3 \cdots))$$

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#### Definition

For  $M \in \mathcal{M}$ , let  $V_M$  be the set of integral vectors with coordinates between -||M|| and ||M|| - 1 (note that  $|V_M| = (2||M||)^d$ ).

#### Definition

Construct the automaton  $A_{M,n}$ :

- Alphabet: X<sub>n</sub>.
- States:  $m_v$  for  $v \in V_M$ , with root permutation and sections

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For  $M \in \mathcal{M}$ , let  $V_M$  be the set of integral vectors with coordinates between -||M|| and ||M|| - 1 (note that  $|V_M| = (2||M||)^d$ ).

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The state  $m_{\mathbf{v}} \in \mathcal{A}_{M,n}$  acts on a vector  $\mathbf{u} = \mathbf{x}_1 \mathbf{x}_2 \mathbf{x}_3 \cdots \in \mathbb{Z}_n^d$  as  $m_{\mathbf{v}}(\mathbf{u}) = {}_{\mathbf{v}} M(\mathbf{u})$ .

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Construct the automaton  $A_{\mathcal{M},n}$  as the disjoint union of the automata  $A_{M_1,n}, \ldots, A_{M_m,n}$ .

- Alphabet: X<sub>n</sub>,
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 $G_{\mathcal{M},n}$  is an automaton group generated by the automaton  $\mathcal{A}_{\mathcal{M},n}$  (over an alphabet of size  $n^d$ , and having  $2^d \sum_{i=1}^m ||M_i||^d$  states).

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### $G_{\mathcal{M}}$ is an automaton group

### **Proof.** Clearly, $G(\mathcal{A}_{\mathcal{M},n}) \leq G_{\mathcal{M},n}$ .

For the other inclusion it remains to see that  $\mathcal{A}_{\mathcal{M},n}$  has enough states to generate  $G_{\mathcal{M},n}$ . In fact, for every  $M \in \mathcal{M}$ , we have states  $m_0, m_{-\mathbf{e}_1}, \ldots, m_{-\mathbf{e}_d}$  and so, also have

 $m_0 = {}_0M: \mathbf{u} \mapsto M\mathbf{u}$ 

and

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### Conclusion

### So, we have proved that

#### Theorem

For  $d \ge 6$ , there exists  $\mathcal{M} = \{M_1, \ldots, M_m\}$  such that  $\Gamma = \langle M_1, \ldots, M_m \rangle \leqslant GL_d(\mathbb{Z})$  is free and orbit undecidable. Hence, the group  $\mathcal{A}_{\mathcal{M},n} \simeq G_{\mathcal{M},n}$ 

- is an automaton group,
- is  $\mathbb{Z}^d$ -by-free (i.e.  $\simeq \mathbb{Z}^d \rtimes \Gamma$ ),
- has unsolvable conjugacy problem.

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# **THANKS**