#### Golod-Shafarevich groups

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March 31, 2011



#### Golod-Shafarevich groups

- Motivation: class field tower problem
- Golod-Shafarevich algebras
- Golod-Shafarevich groups
- Structure of Golod-Shafarevich groups
- Further applications of Golod-Shafarevich groups
- Generalized Golod-Shafarevich groups

# Outline



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is defined by  $K^{(i)} = \mathbb{H}(K^{(i-1)})$ .

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#### Problem (Class field tower (CFT) problem)

Does there exist K with infinite class field tower?

#### • Fix a prime *p*.

• Let  $\mathbb{H}_p(K)$  be the *p*-class field of *K*= maximal unramified Galois extension of *K* such that  $Gal(\mathbb{H}_p(K)/K)$  is an elementary abelian *p*-group.

- Let *K*<sub>p</sub> = ∪*K*<sup>(i)</sup><sub>p</sub>. Then *K*<sub>p</sub> is the max. unramified *p*-extension of *K*.
  Let *G*<sub>K,p</sub> = *Gal*(*K*<sub>p</sub>/*K*).
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- Let  $\widehat{K}_p = \bigcup K_p^{(i)}$ . Then  $\widehat{K}_p$  is the max. unramified *p*-extension of *K*.
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- Thus, to solve CFT it suffices to find *K* with  $G_{K,p}$  infinite.

In his 1963 IHES paper, Shafarevich studied presentations for  $G_{K,p}$  and proved that  $r(G_{k,p}) \leq d(G_{k,p}) + \rho(K)$  where

- $d(G_{K,p})$  is the minimal number of generators of  $G_{K,p}$
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This result implies that

#### Proposition

For every p there exists a sequence  $\{K_n\}$  of number fields such that

(i) 
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Theorem (Golod-Shafarevich 1964)

If G is a finite p-group, then  $r(G) \ge (d(G) - 1)^2/4$ .

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#### Theorem (Golod-Shafarevich 1964)

If G is a finite p-group, then  $r(G) \ge (d(G) - 1)^2/4$ . Therefore, CFT problem has positive solution.

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#### Golod-Shafarevich groups Golod-Shafarevich algebras

### Golod-Shafarevich algebras: graded case

#### Let $U = \{u_1, \ldots, u_d\}$ be a finite set and *K* a field.

• 
$$K\langle U\rangle = K\langle u_1,\ldots,u_d\rangle = \oplus_{n=0}^{\infty} K\langle U\rangle_n.$$

- *R* a subset of  $K\langle U \rangle$  consisting of homogeneous elements of positive degree
- *I* the ideal of  $K\langle U \rangle$  generated by *R*.
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Then

$$I = \bigoplus_{n=0}^{\infty} I_n \quad \text{where} \quad I_n = I \cap K \langle U \rangle_n$$
$$A = \bigoplus_{n=0}^{\infty} A_n \quad \text{where} \quad A_n = K \langle U \rangle_n / I_n.$$

Let r<sub>n</sub> = |{r ∈ R : deg(r) = n}| and a<sub>n</sub> = dim<sub>K</sub>A<sub>n</sub>.
 H<sub>R</sub>(t) = Σ<sub>n=1</sub><sup>∞</sup> r<sub>n</sub>t<sup>n</sup> and H<sub>A</sub>(t) = Σ<sub>n=0</sub><sup>∞</sup> a<sub>n</sub>t<sup>n</sup>

• Let 
$$r_n = |\{r \in R : deg(r) = n\}|$$
 and  $a_n = \dim_K A_n$ .  
•  $H_R(t) = \sum_{n=1}^{\infty} r_n t^n$  and  $H_A(t) = \sum_{n=0}^{\infty} a_n t^n$ 

Theorem (Golod-Shafarevich inequality: graded case)

 $(1 - dt + H_R(t))H_A(t) \ge 1$  as power series

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#### Corollary

Assume there exists  $\tau \in (0, 1)$  s.t.  $1 - d\tau + H_R(\tau) < 0$  (\*\*\*). Then  $\dim_K A = \infty$ .

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Then dim<sub>K</sub>  $A = \infty$ .

#### Definition

- The condition (\*\*\*) is called the **GS condition**.
- A graded algebra *B* is called a **GS algebra** if it has a presentation satisfying the GS condition.

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Golod-Shafarevich groups

#### • Let *B* be a graded algebra over *K* with $\dim_K B < \infty$ .

- Let  $\langle U|R \rangle$  be a minimal presentation of *B*. Then  $r_1 = 0$  (no degree 1 relators).
- Hence for any  $\tau \in (0, 1)$  we have

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- Let  $K\langle \langle U \rangle \rangle = K\langle \langle u_1, \dots, u_d \rangle \rangle$  (power series)
- For  $f \in K\langle \langle U \rangle \rangle$  let deg(f) be the smallest integer n s.t. f involves a monomial of degree n. Let  $K\langle \langle U \rangle \rangle_n = \{f \in K\langle \langle U \rangle \rangle : deg(f) \ge n\}$ .
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$$r_n = |\{r \in R : deg(r) = n\}|,$$

- $A_n = \pi(K\langle \langle U \rangle \rangle_n / K\langle \langle U \rangle \rangle_{n+1})$ , where  $\pi : K\langle \langle U \rangle \rangle \to A$  is the natural projection, and  $a_n = \dim_K A_n$ ,
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#### Theorem (Golod-Shafarevich inequality: non-graded case)

$$\frac{(1-dt+H_R(t))H_A(t)}{1-t} \ge \frac{1}{1-t} \text{ as power series}$$

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# Golod-Shafarevich groups Golod-Shafarevich groups Zassenhaus degree function on free groups

#### • Fix a prime *p* (for the rest of the talk).

- Let  $X = \{x_1, \ldots, x_d\}$  be a finite set and F(X) the free group on X.
- Let  $U = \{u_1, ..., u_d\}$  and consider the **Magnus embedding** of F(X) into  $\mathbb{F}_p(\langle U \rangle)^*$  given by

 $x_i \mapsto 1 + u_i$ .

• For  $f \in F(X)$  set D(f) = deg(f-1)

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**Basic properties of the degree function** *D***.** 

- $D(f) \ge 1$  for any  $f \in F(X)$
- $D([f,g]) \ge D(f) + D(g)$  for any  $f,g \in F(X)$  where  $[f,g] = f^{-1}g^{-1}fg$
- $D(f^p) = p \cdot D(f)$  for any  $f \in F(X)$ .

## Golod-Shafarevich groups

#### Definition

A f.g. group *G* is a **GS group** if it has a presentation  $\langle X|R \rangle$  with the following property: there exists  $0 < \tau < 1$  such that

 $1-|X|\tau+H_R(\tau)<0$ 

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**Remark:** Similarly, one defines GS pro-*p* groups. The only difference is that D(f) has to be defined for  $f \in F(X)_{\hat{p}}$ , the **free pro-***p* **group on** *X*. This causes no problem since  $F(X)_{\hat{p}}$  can be realized as the closure of F(X) inside  $\mathbb{F}_p\langle\langle u_1, \ldots, u_n\rangle\rangle$ .

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**Remark:** Similarly, one defines GS pro-*p* groups. The only difference is that D(f) has to be defined for  $f \in F(X)_{\hat{p}}$ , the **free pro-***p* **group on** *X*. This causes no problem since  $F(X)_{\hat{p}}$  can be realized as the closure of F(X) inside  $\mathbb{F}_p\langle\langle u_1, \ldots, u_n\rangle\rangle$ .

#### Theorem (Golod-Shafarevich)

*Let G be a Golod-Shafarevich group. Then the pro-p completion of G is infinite. In particular, G itself is infinite.* 

Mikhail V. Ershov (UVA)

## Proof: connection with Golod-Shafarevich algebras

Golod-Shafarevich groups Golod-Shafarevich groups

• If *G* is a f.g. group, consider its completed group algebra

 $\mathbb{F}_p[[G]] = \varprojlim \mathbb{F}_p[G/N]$ 

- Assume now that *G* is a GS group. Then one can show that  $\mathbb{F}_p[[G]]$  is a GS algebra (in the non-graded sense).
- By GS inequality  $\mathbb{F}_p[[G]]$  is infinite, so *G* is infinite. Moreover, *G* must have infinitely many normal subgroups of *p*-power index, so its pro-*p* completion is infinite.
- The same argument shows that GS pro-*p* groups are infinite.
- One can say much more: GS groups are "big" in many different ways.

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- Let  $F = F(x_1, x_2)$ . Enumerate all elements of  $F: f_1, f_2, f_3, \ldots$
- Let  $G = \langle X | R \rangle$  where  $X = \{x_1, x_2\}$  and  $R = \{f_1^{p^{n_1}}, f_2^{p^{n_2}}, \ldots\}$  for some integers  $n_1, n_2, \ldots$  By construction *G* is torsion.
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- To make *G* infinite, it suffices to ensure that *G* is GS.
- Note that  $1 |X|\tau + H_R(\tau) \leq 1 2\tau + \sum_{i=1}^{\infty} \tau^{p^{n_i}} < 0$  whenever  $1/2 < \tau < 1$  and  $\{n_i\}$  are large enough, so we can make *G* a GS group.

## Outline



#### Golod-Shafarevich groups

- Motivation: class field tower problem
- Golod-Shafarevich algebras
- Golod-Shafarevich groups

#### • Structure of Golod-Shafarevich groups

- Further applications of Golod-Shafarevich groups
- Generalized Golod-Shafarevich groups

### Quotients of Golod-Shafarevich groups

If *G* is a GS group and (P) is some group-theoretic property, one can often construct a quotient of *G* which has (P) and is also GS (in particular, it is infinite).

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If *G* is a GS group and (P) is some group-theoretic property, one can often construct a quotient of *G* which has (P) and is also GS (in particular, it is infinite).

Theorem (Wilson, 1991)

Every GS group has a torsion quotient which is also GS.

Theorem (E-Jaikin, 2012)

Every GS group has a LERF quotient which is also GS.

Theorem (Myasnikov-Osin, 2012)

*Every recursively presented GS group has a quotient which is a Dehn monster and is also GS.* 

Golod-Shafarevich groups Structure of Golod-Shafarevich groups

## Growth and subgroups of Golod-Shafarevich groups

Proposition (Bartholdi-Grigorchuk, 2000)

GS groups have uniformly exponential growth.

Golod-Shafarevich groups Structure of Golod-Shafarevich groups

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Theorem (Zelmanov, 2000)

*Let G be a GS pro-p group. Then G contains a non-abelian free pro-p subgroup.* 

- If G is free non-abelian, then log log(a<sub>n</sub>(G)) ~ log(n) (exponential growth)
- If G is *p*-adic analytic, then log log(a<sub>n</sub>(G)) ~ log log(n) (polynomial growth)
- (Shalev, 1992) If *G* is non-*p*-adic analytic, then  $\log \log(a_n(G)) \ge (2 \varepsilon) \log \log(n)$  for infinitely many *n*.
- (Jaikin, 2011) If *G* is GS, then there is  $\alpha > 0$  s.t.  $\log \log(a_n(G)) \ge \log(n)^{\alpha}$  for infinitely many *n*.

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If *G* is a f.g. pro-*p* group, let  $a_n(G)$  be the number of subgroups of index *n* in *G* (note that  $a_n(G) = 0$  unless  $n = p^k$ ).

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#### Problem

Does there exist a GS group G

(a) with subexponential subgroup growth?

(b) s.t. 
$$\log \log(a_n(G)) \sim \log(n)^{\alpha}$$
 where  $\alpha < 1$ ?

## Outline



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- A (2008) There exist GS groups with Kazhdan's property (T).
- *B* (2011) Every GS group has an infinite quotient with property (*T*). In particular, GS groups are never amenable.
- Part B "confirms" the general philosophy that GS groups should be "big".
- For the same reason Part A is somewhat surprising since groups with property (*T*) should not be "big".
- Despite this contrast, the proof of Part B uses Part A.
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# Golod-Shafarevich groups and Kazhdan's property (T)

## Theorem (E)

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Let *M* be a compact orientable 3-manifold and  $G = \pi_1(M)$ . Then *G* has a presentation  $\langle X|R \rangle$  with |X| = |R|.

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*If M is hyperbolic, then*  $G = \pi_1(M)$  *has a finite index GS subgroup.* 

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Lubotzky (1983) used this result to prove that fundamental groups of compact orientable hyperbolic 3-manifolds (which are just cocompact torsion-free lattices in  $SL_2(\mathbb{C})$ ) do not have CSP. This was a major open problem known as Serre conjecture.

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- By [Lackenby-Long-Reid, 2008] Lubotzky-Sarnak conjecture would imply virtually Haken conjecture for arithmetic hyperbolic 3-manifolds.
- Lubotzky and Zelmanov conjectured that GS groups may never have property (τ). If true, this would have implied Lubotzky-Sarnak conjecture.
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## Corollary (E, 2008)

*There exist f.g.* **residually finite** *torsion non-amenable groups.* 

- Let *G* be a GS group with property (*T*).
- *G* has a torsion quotient *G*′ which is still GS. *G*′ also has (*T*) being a quotient of *G*.
- *G'* need not be residually finite, but the image of *G'* in its pro-*p* completion, call it *G''*, is residually finite.
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Other examples of residually finite torsion non-amenable groups were constructed by Osin (2011) and Puchta (2011).

Golod-Shafarevich groups Further applications of Golod-Shafarevich groups

## Constructing residually finite "almost Tarski monsters"

### Theorem (Ol'shanskii, 1980)

*For every sufficiently large prime p there is an infinite group G in which every* **proper** *subgroup has order p.* 

Such groups are called **Tarski monsters**.

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### Theorem (E-Jaikin, 2012)

Every GS group has a quotient G s.t.

• *G* is an infinite residually finite torsion group

• every **finitely generated** subgroup of *G* is either finite or of finite index.

## Let (P) and (Q) be group-theoretic properties. Suppose that

- (i) (*Q*) is preserved by quotients
- (ii) There exists a GS group with (Q)
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### Example (EJ)

There exists an infinite group which is LERF and has property (T).

```
Here (P) = \text{LERF} and (Q) = (T).
```

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- Motivation: class field tower problem
- Golod-Shafarevich algebras
- Golod-Shafarevich groups
- Structure of Golod-Shafarevich groups
- Further applications of Golod-Shafarevich groups
- Generalized Golod-Shafarevich groups

The definition of GS groups can be restated as follows: *G* is GS if there exists a presentation ⟨*X*|*R*⟩ of *G* and *τ* ∈ (0, 1) s.t.

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- Recall that  $D(s) = \deg(s 1)$  where F(X) sits inside  $\mathbb{F}_p\langle\langle u_1, \dots, u_n\rangle\rangle$  via the Magnus embedding.
- Now we want to allow more general *D* and *W*. Define a degree function *d* on  $\mathbb{F}_p\langle\langle u_1, \ldots, u_n \rangle\rangle$  by choosing  $d(u_1), \ldots, d(u_n) \in \mathbb{R}_{>0}$  arbitrarily and then extending to  $\mathbb{F}_p\langle\langle u_1, \ldots, u_n \rangle\rangle$  in a canonical way.
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- The analogous statement about GS groups is likely false.
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Golod-Shafarevich groups Generalized Golod-Shafarevich groups

## Golod-Shafarevich condition and weighted deficiency

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Thus, generalized GS groups = group of positive weighted deficiency, and they also generalize groups of deficiency > 1.

## Baumslag-Pride theorem

### Theorem (Baumslag-Pride 1978)

If G is a group of deficiency > 1, then G is **large**, that is, G has a finite index subgroup which homomorphically maps onto a non-abelian free group.

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### Problem

*Find a counterpart of the Baumslag-Pride Theorem for generalized GS groups (considered as groups of positive weighted deficiency).*