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Public key exchange using semidirect product of (semi)groups

November 15, 2012

- 1. Alice and Bob agree on a public (finite) cyclic group G and a generating element g in G. We will write the group G multiplicatively.
- 2. Alice picks a random natural number a and sends g^a to Bob.
- 3. Bob picks a random natural number b and sends g^b to Alice.
- 4. Alice computes $K_A = (g^b)^a = g^{ba}$.
- 5. Bob computes $K_B = (g^a)^b = g^{ab}$.

Since ab = ba (because \mathbb{Z} is commutative), both Alice and Bob are now in possession of the same group element $K = K_A = K_B$ which can serve as the shared secret key.

Exponentiation by "square-and-multiply":

$g^{22} = (((g^2)^2)^2)^2 \cdot (g^2)^2 \cdot g^2$

Complexity of computing g^n is therefore $O(\log n)$, times complexity of reducing mod p (more generally, reducing to a "normal form").

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Obviously, $K_A = K_B = K$, which can serve as the shared secret key.

Drawback: anybody can obtain K the same way!

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Stickel 2005, Maze-Monico-Rosenthal 2007

There is a public ring (or a semiring) R and public $n \times n$ matrices S, M_1 , and M_2 over R. The ring R should have a non-trivial commutative subring C. One way to guarantee that would be for R to be an algebra over a field K; then, of course, C = K will be a commutative subring of R.

- 1. Alice chooses polynomials $p_A(x), q_A(x) \in C[x]$ and sends the matrix $U = p_A(M_1) \cdot S \cdot q_A(M_2)$ to Bob.
- 2. Bob chooses polynomials $p_{B}(x), q_{B}(x) \in C[x]$ and sends the matrix $V = p_{B}(M_{1}) \cdot S \cdot q_{B}(M_{2})$ to Alice.
- 3. Alice computes

 $K_A = p_A(M_1) \cdot V \cdot q_A(M_2) = p_A(M_1) \cdot p_B(M_1) \cdot S \cdot q_B(M_2) \cdot q_A(M_2).$

4. Bob computes

$$K_B = p_{\scriptscriptstyle B}(M_1) \cdot U \cdot q_{\scriptscriptstyle B}(M_2) = p_{\scriptscriptstyle B}(M_1) \cdot p_{\scriptscriptstyle A}(M_1) \cdot S \cdot q_{\scriptscriptstyle A}(M_2) \cdot q_{\scriptscriptstyle B}(M_2).$$

Since any two polynomials in the same matrix commute, one has $K = K_A = K_B$, the shared secret key.

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 $\mathcal{K}_{A} = p_{A}(M_{1}) \cdot V \cdot q_{A}(M_{2}) = p_{A}(M_{1}) \cdot p_{B}(M_{1}) \cdot S \cdot q_{B}(M_{2}) \cdot q_{A}(M_{2}).$

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$$\mathcal{K}_{\mathcal{B}} = \boldsymbol{p}_{\scriptscriptstyle B}(M_1) \cdot U \cdot \boldsymbol{q}_{\scriptscriptstyle B}(M_2) = \boldsymbol{p}_{\scriptscriptstyle B}(M_1) \cdot \boldsymbol{p}_{\scriptscriptstyle A}(M_1) \cdot S \cdot \boldsymbol{q}_{\scriptscriptstyle A}(M_2) \cdot \boldsymbol{q}_{\scriptscriptstyle B}(M_2).$$

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Note: The whole ring R should not be commutative because otherwise, the Cayley-Hamilton theorem kills large powers of a matrix.



Let G, H be two groups, let Aut(G) be the group of automorphisms of G, and let $\rho: H \to Aut(G)$ be a homomorphism. Then the semidirect product of G and H is the set

$$\Gamma = G \rtimes_{\rho} H = \{(g, h) : g \in G, h \in H\}$$

with the group operation given by

$$(g,h)(g',h') = (g^{\rho(h)} \cdot g', h \cdot h').$$

Here $g^{\rho(h)}$ denotes the image of g under the automorphism $\rho(h)$.

If H = Aut(G), then the corresponding semidirect product is called the *holomorph* of the group G. Thus, the holomorph of G, usually denoted by Hol(G), is the set of all pairs (g, ϕ) , where $g \in G$, $\phi \in Aut(G)$, with the group operation given by

$$(g, \phi) \cdot (g', \phi') = (\phi'(g) \cdot g', \phi \cdot \phi').$$

It is often more practical to use a subgroup of Aut(G) in this construction.

Also, if we want the result to be just a semigroup, not necessarily a group, we can consider the semigroup End(G) instead of the group Aut(G) in this construction.

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Key exchange using extensions by automorphisms (Habeeb-Kahrobaei-Koupparis-Shpilrain)

Let G be a group (or a semigroup). An element $g \in G$ is chosen and made public as well as an arbitrary automorphism (or an endomorphism) ϕ of G. Bob chooses a private $n \in \mathbb{N}$, while Alice chooses a private $m \in \mathbb{N}$. Both Alice and Bob are going to work with elements of the form (g, ϕ^k) , where $g \in G$, $k \in \mathbb{N}$.

- Alice computes (g, φ)^m = (φ^{m-1}(g) · · · φ²(g) · φ(g) · g, φ^m) and sends only the first component of this pair to Bob. Thus, she sends to Bob only the element a = φ^{m-1}(g) · · · φ²(g) · φ(g) · g of the group G.
- Bob computes (g, φ)ⁿ = (φⁿ⁻¹(g) · · · φ²(g) · φ(g) · g, φⁿ) and sends only the first component of this pair to Alice: b = φⁿ⁻¹(g) · · · φ²(g) · φ(g) · g.
- Alice computes (b,x) ⋅ (a, φ^m) = (φ^m(b) ⋅ a, x ⋅ φ^m). Her key is now K_A = φ^m(b) ⋅ a. Note that she does not actually "compute" x ⋅ φ^m because she does not know the automorphism x; recall that it was not transmitted to her. But she does not need it to compute K_A.

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- Bob computes (a, y) · (b, φⁿ) = (φⁿ(a) · b, y · φⁿ). His key is now K_B = φⁿ(a) · b. Again, Bob does not actually "compute" y · φⁿ because he does not know the automorphism y.
- 5. Since $(b, x) \cdot (a, \phi^m) = (a, y) \cdot (b, \phi^n) = (g, \phi)^{m+n}$, we should have $K_A = K_B = K$, the shared secret key.

 $G = \mathbb{Z}_p^*$ $\phi(g) = g^k$ for all $g \in G$ and a fixed k, 1 < k < p - 1.

Then $(g, \phi)^m = (\phi^{m-1}(g) \cdots \phi(g) \cdot \phi^2(g) \cdot g, \phi^m).$ The first component is equal to $g^{k^{m-1}+\ldots+k+1} = g^{\frac{k^m-1}{k-1}}.$ The shared key $K = g^{\frac{k^{m+n}-1}{k-1}}.$

"The Diffie-Hellman type problem" would be to recover the shared key $K = g^{\frac{k^m+n-1}{k-1}}$ from the triple $(g, g^{\frac{k^m-1}{k-1}}, g^{\frac{k^n-1}{k-1}})$. Since g and k are public, this is equivalent to recovering $g^{k^{m+n}}$ from the triple (g, g^{k^m}, g^{k^n}) , i.e., this is exactly the standard Diffie-Hellman problem.

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Our general protocol can be used with *any* non-commutative group G if ϕ is selected to be an inner automorphism. Furthermore, it can be used with any non-commutative *semigroup* G as well, as long as G has some invertible elements; these can be used to produce inner automorphisms. A typical example of such a semigroup would be a semigroup of matrices over some ring.

We use the semigroup of 3×3 matrices over the group ring $\mathbb{Z}_7[A_5]$, where A_5 is the alternating group on 5 elements.

Then the public key consists of two matrices: the (invertible) conjugating matrix H and a (non-invertible) matrix M. The shared secret key then is: $K = H^{-(m+n)}(HM)^{m+n}$. Our general protocol can be used with *any* non-commutative group G if ϕ is selected to be an inner automorphism. Furthermore, it can be used with any non-commutative *semigroup* G as well, as long as G has some invertible elements; these can be used to produce inner automorphisms. A typical example of such a semigroup would be a semigroup of matrices over some ring.

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• If the platform (semi)group is not commutative, then we get a new security assumption. In the simplest case, where the automorphism used for extension is inner, attacking a private exponent amounts to recovering an integer n from a product $g^{-n}h^n$, where g, h are public elements of the platform (semi)group. In the special case where g = 1 this boils down to recovering n from h^n , with public h ("discrete log" problem).

On the other hand, in the particular instantiation of our protocol, which is based on a non-commutative semigroup extended by an inner automorphism, recovering the shared secret key from public information is based on a different security assumption than the classical Diffie-Hellman protocol is. • If the platform (semi)group is not commutative, then we get a new security assumption. In the simplest case, where the automorphism used for extension is inner, attacking a private exponent amounts to recovering an integer n from a product $g^{-n}h^n$, where g, h are public elements of the platform (semi)group. In the special case where g = 1 this boils down to recovering n from h^n , with public h ("discrete log" problem).

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